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SI
04-1609
Attorney Docket No.: 10251-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2163

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|-----------------|---|--------------------------|
| Re: U.S. Patent | : | Robert FEILBOGEN, et al. |
| Application of | : | METHOD AND SYSTEM FOR |
| Entitled | : | INITIATING AND CLEARING |
| | : | TRADES |
| Serial No. | : | 09/848,931 |
| Filing Date | : | May 4, 2001 |

**SUPPLEMENTAL
INFORMATION
DISCLOSURE
STATEMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this paper is being deposited this date
with the U.S. Postal Service as first class mail addressed to
the Assistant Commissioner for Patents, Washington, D.C.
20231.

March 22, 2002

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Technology Center 2100

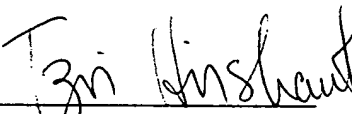
Applicants submit herewith a PTO-1449 form and copies of the references listed thereon. Applicants note that the cited press releases from AVT Technologies are dated well after the filing of Applicants' provisional applications, filed in May 2000 and from which applicants claim priority. The cited Informational materials are undated but were printed from AVT Technologies' web site on March 11, 2002. Applicants have no reason to believe, nor is there any suggestion in the AVT Technologies documents, that the information contained therein was publicly available before the filing of applicants' provisional applications. We are nevertheless submitting these documents, which came to the applicants' attention around late October/early November 2001, to make the record complete.

This Supplemental Information Disclosure Statement is being filed before the mailing of the first Office Action. Therefore, no fee is believed to be due at this time.

It is requested that the references cited in the accompanying PTO-1449 form be considered herein.

Respectfully submitted,

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Attorneys for the Applicant(s)

By 
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Date: March 22, 2002

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Enclosures: PTO-1449 Form w/ references



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| Form PTO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | Attorney Docket No. 10251-037 | Serial No. 09/848,931 | | |
| | | Applicant Robert FEILBOGEN, et al. | | | |
| | | Filing Date 05/04/01 | Group Art Unit 2163 | | |
| U.S. PATENT DOCUMENTS | | | | | |
| Examiner Initial | Document Number | Date | Name | Class | Sub Class |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | |
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| | "Centradia Name Chosen For First Multi-Bank, Multi-Product On-Line Financial Marketplace." AVT Technologies press release, June 18, 2001, 1 pages. | | | | |
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| Examiner | | Date Considered | | | |
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